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(54) Title: POLY(METH)ACRYLIC PHOTOCHROMIC COATING

**(57) Abstract:** Described are articles having a photochromic poly(meth)acrylic coating. The coatings exhibit a Fischer microhardness of from 50 to 150 Newtons per mm<sup>2</sup> and improved photochromic performance properties, i.e., the formation of darker activated colors and faster rates of photochromic activation and fade when irradiated with ultraviolet light. Also described are photochromic poly(meth)acrylic articles.

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## POLY(METH)ACRYLIC PHOTOCHROMIC COATING

### DESCRIPTION OF THE INVENTION

- The present invention relates to certain coatings  
5 prepared from poly(meth)acrylic polymer(s) that contain  
photochromic compound(s), hereinafter referred to as  
photochromic poly(meth)acrylic coatings; articles to which  
such coatings have been applied; and the process used to apply  
such coatings to a substrate. More particularly, this  
10 invention relates to certain photochromic poly(meth)acrylic  
coatings which when applied to a substrate exhibit a  
particular Fischer microhardness and when exposed to  
activating light radiation exhibit improved photochromic  
performance properties, i.e., the formation of darker  
15 activated colors and faster rates of photochromic activation  
and fade. Further, this invention relates to photochromic  
poly(meth)acrylic coatings that meet commercially acceptable  
"cosmetic" standards for optical coatings applied to optical  
elements, e.g., lenses.
- 20 Photochromic compounds exhibit a reversible change  
in color when exposed to light radiation involving ultraviolet  
rays, such as the ultraviolet radiation in sunlight or the  
light of a mercury lamp. Various classes of photochromic  
compounds have been synthesized and suggested for use in  
25 applications in which a sunlight-induced reversible color  
change or darkening is desired. The most widely described  
classes of photochromic compounds are oxazines, pyrans and  
fulgides.
- 30 The use of photochromic compounds in combination  
with polymerizates of poly(meth)acrylates has been disclosed.  
For example, a process for producing photochromic products  
from a composition of radically polymerizable monomers,  
ultraviolet light initiators and photochromic compounds is  
described in International Patent Publication WO 96/37593. A  
35 photochromic composition comprising radically polymerizable  
monomer, from 0.001 to 0.2 weight percent of a photochromic  
compound and a photopolymerization initiator is disclosed in

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U.S. Patent 5,621,017. In U.S. Patent 5,776,376, a photochromic composition comprising a polymerizable monomer having at least one epoxy group, certain (meth)acrylate and di(meth)acrylate monomers and photochromic compounds is 5 described.

A polymeric material of mono or multi-functional (meth)acrylates, which has a glass transition temperature of at least 125°F and a cross link density of from 2 to 8 moles per liter (M/L), is disclosed as a carrier of photochromic 10 additives in WO 97/06944. European Patent Publication-EP 846708 A2, describes a resin comprising at least two polymerizable (meth)acrylate monomers, at least one photochromic compound, an additive and polymerization initiator. The resultant polymerizate is described as having 15 a glass transition temperature ranging from 20 to 50°C and a cross link density ranging from 0.5 M/L to 3 M/L. Photochromic polymeric materials prepared from ethoxylated bisphenol A dimethacrylate alone or in combination with other monomers are disclosed in WO publications 96/18926, 96/19741, 20 97/03373 and 97/21122.

The use of poly(meth)acrylic polymers in the preparation of non-photochromic low yellow index polymer compositions and optical lenses has been disclosed. An ophthalmic lens prepared from the polymerization of a 25 composition comprising at least 50% by weight of an ethoxylated bisphenol A dimethacrylate type monomer having 0 to 10 ethoxy units is disclosed in U.S. Patents 5,545,828 and 5,442,022. A high refractive index lens obtained by copolymerization of an ethoxylated bisphenol A dimethacrylate 30 type monomer having 0 to 8 ethoxy units is described in U.S. Patent 4,306,780.

The above patents and patent applications do not disclose an article comprising, in combination, a substrate and a photochromic coating applied to at least one surface of 35 the substrate, the coating exhibiting good photochromic properties and being prepared from a composition consisting essentially of from 0.5 to 40.0 weight percent of a

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photochromic component, at least two difunctional (meth)acrylate monomers, each having from greater than 3 to less than 15 alkoxy units, a polymerization initiator, and being substantially free of certain cure modifiers.

5 In terms of photochromic properties, the herein described photochromic poly(meth)acrylic coatings color and fade at acceptable rates, and achieve a satisfactory activated colored state. The novel coatings described herein also exhibit a Fischer microhardness of from 50 to 150 Newtons per  
10 mm<sup>2</sup>. Such a hardness level enables the cured coating to be handled and coated, e.g., with an abrasion-resistant coating, without causing cosmetic defects, e.g., pits, spots, cracks, inclusions, etc. Moreover, the composition used to form the photochromic coating may be used also to form a photochromic  
15 poly(meth)acrylic polymerizate.

#### DETAILED DESCRIPTION OF THE INVENTION

In recent years, photochromic articles, particularly photochromic plastic materials for optical applications, have  
20 been the subject of considerable attention. In particular, photochromic ophthalmic plastic lenses have been investigated because of the weight advantage they offer, vis-à-vis, glass lenses. Moreover, photochromic transparencies for vehicles, such as cars and airplanes, have been of interest because of  
25 the potential safety features that such transparencies offer.

The use of photochromic coatings enables the preparation of photochromic plastic articles without the need to incorporate the photochromic compound(s) into a preformed plastic substrate. This avoids the need to develop special  
30 optical resin materials that may be used with photochromic compounds, and is particularly advantageous when the plastic, e.g., thermoplastic polycarbonate, does not have sufficient internal free volume to allow an incorporated photochromic material to activate. Further, use of photochromic coatings  
35 results in a more efficient utilization of photochromic compounds by avoiding losses associated with more conventional transfer methods, e.g., imbibition or permeation.

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Other than in the operating examples, or where otherwise indicated, all values, such as those expressing wavelengths, quantities of ingredients, ranges or reaction conditions, used in this description and the accompanying 5 claims are to be understood as modified in all instances by the term "about".

The Fischer microhardness of the coatings (or polymerizates) described herein range from 50 Newtons per mm<sup>2</sup>, preferably from 60, more preferably, from 70 Newtons per mm<sup>2</sup> 10 to 150 Newtons per mm<sup>2</sup>, preferably, to 130 and more preferably to 120 Newtons per mm<sup>2</sup>. The Fischer microhardness of these coatings/polymerizates may range between any combination of these values, inclusive of the recited values. The Fischer microhardness values are measured with a 15 Fischerscope H-100 using a 100 milliNewton load, 30 load steps, 0.5 second pauses at an indentor depth of 2μm, as described in more detail in Part E of Example 18 herein.

The photochromic properties of the cured coatings of the present invention are characterized by a change in optical 20 density ( $\Delta OD$ ) after 30 seconds of at least 0.15, preferably, at least 0.17 and most preferably, at least 0.19; and a  $\Delta OD$  after 15 minutes of at least 0.50, preferably, at least 0.60, and most preferably, at least 0.70; and a bleach rate of not more than 200 seconds, preferably, not more than 190, and most 25 preferably, not more than 180 seconds - all as measured at 22°C (72°F), and as described in more detail in Part G of Example 18 herein.

The aforescribed photochromic performance properties of the poly(meth)acrylic coating of the present 30 invention are a function of the temperature at which testing is done and the time intervals selected for determination of those properties. For example, photochromic poly(meth)acrylic coatings within the scope of this invention demonstrate in the 27°C (81°F) Photochromic Performance Test defined in Part G of 35 Example 18, a  $\Delta OD$  of at least 0.20 after 30 seconds and at least 0.50 after eight minutes, and a Bleach rate of less than

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150 seconds; preferably a ΔOD of at least 0.22 after 30 seconds and at least 0.55 after eight minutes, and a Bleach rate of less than 145 seconds; and most preferably, a ΔOD of at least 0.24 after 30 seconds and at least 0.60 after eight 5 minutes, and a Bleach rate of less than 140 seconds.

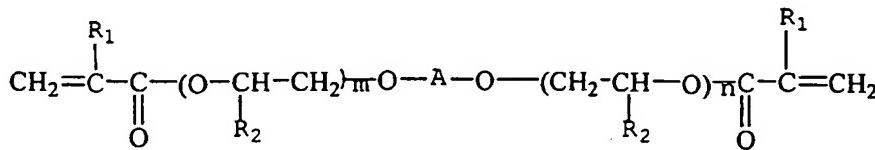
Poly(meth)acrylic coatings having microhardness and photochromic performance properties within the aforestated ranges can be produced by the selection and balancing of the amounts of the components of the polymerizable composition 10 used to prepare the coating matrix. For example, the specific properties of the components comprising the coating matrix or polymerizate that will effect the microhardness and photochromic performance properties of the poly(meth)acrylic matrix are the glass transition temperature and molecular 15 weight of the components and the crosslink density of the resultant matrix. Generally, using components having higher glass transition temperatures and molecular weights results in coatings and polymerizates having an increased microhardness and vice versa. An increase in the number of reactive groups 20 of a component will also cause an increase in the microhardness, provided that all of the groups are reacted. In this latter case, an increase in the number of reactive groups, i.e., crosslinking sites, increases the crosslinked density of the cured coating. It is believed however that the 25 harder the coating or polymerizate the slower the activation and bleaching of the photochromic compound(s) contained therein.

The contribution of a particular polymerizable component, to either the hardness or softness of the cured 30 poly(meth)acrylic coating can be readily determined by measuring the Fischer microhardness of the poly(meth)acrylic coating that is prepared using that component. The hardness-producing monomer component, as defined herein, is a component that increases the microhardness of the poly(meth)acrylic 35 coating as its concentration increases. Similarly, the softness-producing monomer component, as defined herein, is a component that decreases the microhardness of the

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poly(meth)acrylic coating as its concentration increases. Examples of hardness-producing monomer components include, but are not limited to, (meth)acrylic monomers having from greater than 3 to not more than 9 alkoxy units, e.g., ethoxylated bisphenol A di(meth)acrylate with 4 ethoxy units, and poly(ethylene glycol)dimethacrylate having a number average molecular weight of 330. The softness-producing monomers include, but are not limited to, (meth)acrylic monomers having from greater than 9 to less than 15 alkoxy units, e.g., ethoxylated bisphenol A di(meth)acrylate with 10 ethoxy units, and poly(ethylene glycol)dimethacrylate having a number average molecular weight of 754.

The photochromic coating composition of the present invention may be prepared by combining from 0.5 to 40 weight percent of a photochromic component with at least two difunctional (meth)acrylate monomers, each having from greater than 3 to less than 15 alkoxy units. The first difunctional monomer may be selected from the group of monomers and mixtures of monomers represented by the following general formula I:

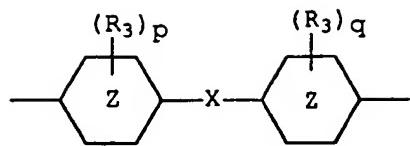


I

wherein each R<sub>1</sub> is hydrogen or methyl, each R<sub>2</sub> is hydrogen or C<sub>1</sub>-C<sub>2</sub> alkyl, m and n are each a positive number, the sum of m and n being from greater than 3 and less than 15. The letter A designates a divalent linking group selected from straight or branched chain alkylene (usually containing from 1 to 8 carbon atoms), phenylene or C<sub>1</sub>-C<sub>9</sub> alkyl substituted phenylene.

Linking group A may also be a group represented by  
30 the following graphic formula:

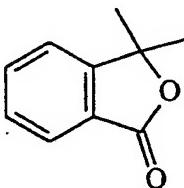
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wherein, each R<sub>3</sub> is C<sub>1</sub>-C<sub>4</sub> alkyl, chlorine or bromine, p and q are each an integer from 0 to 4,



5 represents a divalent benzene group or a divalent cyclohexane group and X is O, S, -S(O<sub>2</sub>)-, -C(O)-, -CH<sub>2</sub>-, -CH=CH-, -C(CH<sub>3</sub>)<sub>2</sub>-, -C(CH<sub>3</sub>)(C<sub>6</sub>H<sub>5</sub>)- or



when



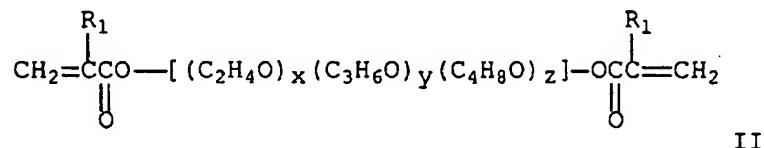
10

is the divalent benzene group, and X is O, S, -CH<sub>2</sub>-, or -C(CH<sub>3</sub>)<sub>2</sub>- when



is the divalent cyclohexane group.

15 The second monomer may be selected from the group of monomers and mixtures of monomers represented by the following general formula II:



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wherein each  $R_1$  is hydrogen or methyl,  $x$ ,  $y$  and  $z$  are each a number between 0 and less than 15 and the sum of  $x$ ,  $y$  and  $z$  is greater than 3 and less than 15. The group,

$-(C_2H_4O)_x-$ , represents poly(ethylene oxide);  $-(C_3H_6O)_y-$

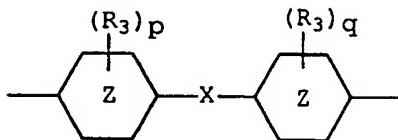
5 represents poly(propylene oxide); and  $-(C_4H_8O)_z-$  represents poly(butylene oxide). When used in combination, the poly(ethylene oxide), poly(propylene oxide) and poly(butylene oxide) groups may be in a random or block order within the second monomer. The sum of  $x$ ,  $y$  and  $z$  may be any number that

10 falls within the range of greater than 3 and less than 15, e.g., 4, 5, 6...14. This sum may also range from any lower number to any higher number within the range of greater than 3 and less than 15, e.g., from 6 to 10, and from 11 to 14. The numbers for  $x$ ,  $y$ , and  $z$  are average values and can be partial

15 numbers, e.g., 3.1 or 14.9.

Preferably, the coating comprises two difunctional (meth)acrylate monomers. In the first monomer represented by general formula I, each  $R_1$  is methyl, each  $R_2$  is hydrogen and the divalent linking group A is represented by the following

20 graphic formula:



wherein



is the divalent benzene group,  $p$  and  $q$  are each 0, and  $x$  is

25  $-C(CH_3)_2-$ . The second monomer is represented by general formula II in which each  $R_1$  is methyl and  $x$  is a number greater than 3 and less than 15, and  $y$  and  $z$  are 0.

The weight ratio of the monomer(s) selected from the first group of monomer(s) to the monomer(s) selected from the

30 second group of monomer(s), based on the total weight of the monomers, may range from 90:10 to 10:90, from 80:20 to 20:80,

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from 70:30 to 30:70, from 60:40 to 40:60 and may be 50:50. The weight ratio of the first monomer to the second monomer of the present invention may range between any combination of these ratios, inclusive of the recited ratios, e.g., from 5 63:37 to 37:63. The relative proportions of the first and second monomers are selected to achieve the desired photochromic and Fischer microhardness properties described herein.

The first monomer may be prepared by methods which 10 are well known in the art. One such commonly used method involves a two-step process. In the first step, a polyol, e.g., 4,4'-isopropylidenediphenol, is reacted with an oxirane containing substance, for example ethylene oxide, propylene oxide,  $\alpha$ -butylene oxide and/or  $\beta$ -butylene oxide to form what 15 is commonly referred to as an ethoxylated, propoxylated and/or butoxylated polyol having hydroxy functionality. The level of alkoxylation can be controlled depending on the amount of oxirane containing substance used in the reaction. In the second step, the ethoxylated, propoxylated and/or butoxylated 20 polyol is esterified, or transesterified, with an alpha-beta unsaturated meth(acrylic) acid or ester such as methacrylic acid, an alkyl methacrylate, acrylic acid, an alkyl acrylate, or a combination thereof. The second step results in the formation of the first monomer.

25 Polyols that may be used in the first step of preparing the first monomer include: straight chain alkylene glycols such as ethylene glycol, propylene glycol, trimethylene glycol, tetramethylene glycol, or diethylene glycol, triethylene glycol; branched chain alkylene glycols 30 such as 1,2-propanediol, 2-methyl-1,3-propanediol, 1,2-butanediol, 1,3-butanediol, 2,3-butanediol; phenylene diols such as ortho, meta and para dihydroxy benzene; alkyl substituted phenylene diols such as 2,6-dihydroxytoluene, 3-methylcatechol, 4-methylcatechol, 2-hydroxybenzyl alcohol, 3-35 hydroxybenzyl alcohol, 4-hydroxybenzyl alcohol, and 3,5-bis(1,1-dimethylethyl)-4-hydroxy-benzenemethanol; dihydroxybiphenyls such as 4,4'-dihydroxybiphenyl and

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2,2'dihydroxybiphenyl; bisphenols such as 4,4'-isopropylidenediphenol; 4,4'-oxybisphenol; 4,4'-dihydroxybenzenephene; 4,4'-'-thiobisphenol; phenolphathlalein; bis(4-hydroxyphenyl)methane; 4,4'-(1,2-ethenediyil)bisphenol; and 4,4'-sulfonylbisphenol; halogenated bisphenols such as 4,4'-isopropylidenebis(2,6-dibromophenol), 4,4'-isopropylidenebis(2,6-dichlorophenol) and 4,4'-isopropylidenebis(2,3,5,6-tetrachlorophenol); and biscyclohexanols, which can be prepared by hydrogenating the corresponding bisphenols, such as 4,4'-isopropylidenebiscyclohexanol; 4,4'-oxybiscyclohexanol; 4,4'-thiobiscyclohexanol; and bis(4-hydroxycyclohexanol)methane.

The second monomer is a bis[(meth)acryloyl-terminated] polyalkylene glycol monomer which is different than the first monomer. The second monomer is derived from a polyalkylene glycol precursor that results in a monomer having from greater than 3 to less than 15 alkoxy units. Preferably, the second monomer is a polyethylene glycol dimethacrylate that is derived from a polyethylene glycol precursor having a number average modular weight of from 200 to 600, inclusive of the recited range, e.g. 300, 400, 550, etc.

The coating composition of the present invention may also contain from 0 to 10 weight percent, based on the total weight of monomers, of polymerization initiator(s) selected from thermal initiators, photoinitiators or mixtures of such initiators. The coating composition of the present invention is substantially free of cure modifiers selected from the group consisting of 2,4-diphenyl-4-methyl-1-pentene, dodecyl mercaptan, butyl mercaptan, diisopropenyl benzene and thiophenol.

Solvents that may be present in the coating composition are those that are necessary to dissolve and/or disperse the coating components. The minimum amount of solvent present in the coating composition is a solvating amount, i.e., an amount which is sufficient to solubilize the solid components in the coating composition. For example, the amount of solvent present may range from 10 to 80 weight

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percent based on the total weight of the coating composition.

Suitable solvents include, but are not limited to, the following: benzene, toluene, methyl ethyl ketone, methyl isobutyl ketone, acetone, ethanol, tetrahydrofurfuryl alcohol, 5 propyl alcohol, propylene carbonate, N-methyl pyrrolidinone, N-vinyl pyrrolidinone, N-acetyl pyrrolidinone, N-hydroxymethyl pyrrolidinone, N-butyl pyrrolidinone, N-ethyl pyrrolidinone, N-(N-octyl) pyrrolidinone, N-(N-dodecyl) pyrrolidinone, 2-methoxyethyl ether, xylene, cyclohexane, 3-methyl 10 cyclohexanone, ethyl acetate, butyl acetate, tetrahydrofuran, methanol, amyl propionate, methyl propionate, propylene glycol methyl ether, diethylene glycol monobutyl ether, dimethyl sulfoxide, dimethyl formamide, ethylene glycol, mono- and dialkyl ethers of ethylene glycol and their derivatives, which 15 are sold as CELLOSOLVE industrial solvents by Union Carbide, and mixtures of such solvents.

The photochromic poly(meth)acrylic coating composition of the present invention may further comprise additional conventional ingredients which impart desired 20 characteristics to the composition, or which are required for the process used to apply and cure the composition on the substrate or which enhance the cured coating made therefrom. Such additional ingredients may include light stabilizers, heat stabilizers, mold release agents, rheology control 25 agents, leveling agents, e.g., surfactants, free radical scavengers and adhesion promoting agents, such as the materials used in the process for producing adherent polymeric layers on polymeric substrates which are disclosed in U.S. Patent Application, Serial No. 09/083,844 filed May 30 22, 1998, which disclosure is incorporated herein by reference. Other adhesion promoters which may be used include trialkoxy silanes, preferably having an alkoxy radical of 1 to 4 carbon atoms, including  $\gamma$ -glycidoxypropyltrimethoxy silane,  $\gamma$ -aminopropyltrimethoxy 35 silane, 3,4-epoxy cyclohexylethyltrimethoxy silane and aminoethyltrimethoxy silane.

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Photochromic components that may be utilized in the poly(meth)acrylic coating composition(s) of the present invention are organic photochromic compounds. Such compounds may be used individually or in combination with other complementary photochromic compounds. Organic photochromic compounds or substances containing same used in the coating compositions described herein have at least one activated absorption maxima within the range of between 400 and 700 nanometers; may be incorporated, e.g., dissolved or dispersed, in the (meth)acrylic composition used to prepare the photochromic coating, and color when activated to an appropriate hue.

In one embodiment the organic photochromic component comprises:

- 15 (a) at least one photochromic organic compound having a visible lambda max of from 400 nanometers to 525 nanometers; and  
20 (b) at least one photochromic organic compound having a visible lambda max of from greater than 525 nanometers to 700 nanometers.

Examples of photochromic compounds that may be used in the poly(meth)acrylic coating composition of the present invention include benzopyrans, naphthopyrans, e.g., naphtho[1,2-b]pyrans and naphtho[2,1-b]pyrans, phenanthropyrans, quinopyrans, benzoxazines, naphthoxazines, spiro(indoline)pyridobenzoxazines and indeno-fused naphthopyrans, such as those disclosed in U.S. Patent 5,645,767. Specific examples include the novel naphthopyrans of U.S. Patent 5,658,501 and the complementary organic photochromic substances disclosed in that patent from column 11, line 57 through column 13, line 36. Other photochromic substances contemplated for use herein are photochromic metal-dithizonates, e.g., mercury dithizonates, which are described in, for example, U.S. Patent 3,361,706; fulgides and fulgimides, e.g. the 3-furyl and 3-thienyl fulgides and fulgimides, which are described in U.S. Patent 4,931,220 at

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column 20, line 5 through column 21, line 38, and mixtures of the aforementioned suitable photochromic substances.

In addition, it is contemplated that a form of organic photochromic substance resistant to the effects of a polymerization initiator may also be added to the polymerizable coating composition of the present invention. Such organic photochromic substances include photochromic pigments and photochromic compounds encapsulated in metal oxides, the latter of which are described in U.S. Patents 10 4,166,043 and 4,367,170.

The disclosures relating to such photochromic compounds in the aforescribed patents are incorporated herein, *in toto*, by reference. The photochromic coatings of the present invention may contain one photochromic compound or, 15 a mixture of photochromic compounds, as desired. Mixtures of photochromic compounds may be used to attain certain activated colors such as a near neutral gray or brown. See, for example, U.S. Patent 5,645,767, column 12, line 66 to column 13, line 19.

20 The amount of photochromic substance used in the coating or polymerize of the present invention is from 0.5 to 40.0 weight percent, based on the total weight of monomers. The relative amounts of the aforesaid photochromic compounds used will vary and depend in part upon the relative 25 intensities of the color of the activated species of such compounds, and the ultimate color desired. Preferably, the concentration of photochromic substances ranges from 1.0 to 30 weight percent, more preferably, from 3 to 20 weight percent, and most preferably, from 5 to 15 weight percent, e.g., from 7 30 to 14 weight percent. The amount of photochromic substance in the coating or polymerize may range between any combination of these values, inclusive of the recited range.

The photochromic compound(s) described herein may be incorporated into the coating composition by addition to the 35 coating composition and/or by dissolving it in solvent before adding it to the coating composition. Alternatively, although less preferred the photochromic compound(s) may be

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incorporated into the cured coating or polymerizate by imbibition, permeation or other transfer methods, which methods are known to those skilled in the art.

Compatible (chemically and color-wise) tints, i.e., 5 dyes, may be added to the coating composition, applied to the coated article or applied to the substrate prior to coating to achieve a more aesthetic result, for medical reasons, or for reasons of fashion. The particular dye selected will vary and depend on the aforesaid need and result to be 10 achieved. In one embodiment, the dye may be selected to complement the color resulting from the activated photochromic substances, e.g., to achieve a more neutral color or absorb a particular wavelength of incident light. In another embodiment, the dye may be selected to provide a 15 desired hue to the substrate and/or coated article when the photochromic substance is in an unactivated state.

Adjuvant materials may also be incorporated into the coating composition with the photochromic substances, prior to, simultaneously with or subsequent to application or 20 incorporation of the photochromic substances in the coating composition or cured coating. For example, ultraviolet light absorbers may be admixed with photochromic substances before their addition to the coating composition or such absorbers may be superposed, e.g., superimposed, as a layer between the 25 photochromic coating and the incident light. Further, stabilizers may be admixed with the photochromic substances prior to their addition to the coating composition to improve the light fatigue resistance of the photochromic substances. Stabilizers, such as hindered amine light stabilizers (HALS), 30 antioxidants, e.g., polyphenolic antioxidants, asymmetric diaryloxalamide (oxanilide) compounds and singlet oxygen quenchers, e.g., a nickel ion complex with an organic ligand, or mixtures of stabilizers are contemplated. They may be used alone or in combination. Such stabilizers are described 35 in U.S. Patents 4,720,356, 5,391,327 and 5,770,115, which patents are incorporated herein by reference.

The coating compositions of the present invention

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may be applied to substrates, of any type such as, for example paper, glass, ceramics, wood, masonry, textiles, metals and polymeric organic materials. Preferably, the substrate is a polymeric organic material, particularly, 5 thermoset and thermoplastic polymeric organic materials, e.g., thermoplastic polycarbonate type polymers and thermoset polycarbonates such as homopolymers or copolymers of diethylene glycol bis(allyl carbonate), which are used as organic optical materials.

10 The amount of the coating composition applied to at least one surface of the substrate is an amount necessary to provide a sufficient quantity of the organic photochromic substance(s) to produce a coating that exhibits the required change in optical density ( $\Delta OD$ ) when the cured coating is 15 exposed to UV radiation. The required change in optical density is that which, when tested at 22°C (72°F), produces a  $\Delta OD$  of at least 0.15 after 30 seconds and at least 0.50 after 15 minutes. The bleach rate of the photochromic coating (the photochromic(s) in the coating) should be 200 seconds or less 20 using the photochromic response testing method described in more detail in Part G of Example 18 herein. The applied coating may have a thickness of at least 5 microns, preferably, at least 10 microns, more preferably, at least 20 microns, e.g., 25 microns. The applied coating will also 25 usually have a thickness of not more than 200 microns, preferably not more than 100 microns, and more preferably not more than 50 microns, e.g., 40 microns. The thickness of the coating may range between any combination of these values, inclusive of the recited values.

30 Prior to applying the coating composition, it is typical to treat the surface of the substrate for the purposes of cleaning it and for promoting adhesion. Effective treatment techniques for plastics, such as those prepared from CR-39® diethylene glycol bis(allyl carbonate) monomer or 35 thermoplastic polycarbonate, e.g., a resin derived from bisphenol A and phosgene, include ultrasonic cleaning; washing with an aqueous mixture of organic solvent, e.g., a 50:50

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mixture of isopropanol: water or ethanol: water; UV treatment; activated gas treatment, e.g., treatment with low temperature plasma or corona discharge, and chemical treatment such as hydroxylation, i.e., etching of the surface with an aqueous solution of alkali, e.g., sodium hydroxide or potassium hydroxide, that may also contain a fluorosurfactant. See U.S. Patent 3,971,872, column 3, lines 13 to 25; U.S. Patent 4,904,525, column 6, lines 10 to 48; and U.S. Patent 5,104,692, column 13, lines 10 to 59, which describe surface treatments of polymeric organic materials.

The treatment used for cleaning glass surfaces, e.g., ophthalmic lenses, will depend on the type of dirt present on the glass surface. Such treatments are known to those skilled in the art. For example, washing the glass with, an aqueous solution that may contain a low foaming, easily rinsed detergent, followed by rinsing and drying with a lint-free cloth; and ultrasonic bath treatment in heated (about 50°C) wash water, followed by rinsing and drying. Pre-cleaning with an alcohol-based cleaner or organic solvent prior to washing may be required to remove adhesives from labels or tapes.

In some cases, it may be necessary to apply a primer to the surface of the substrate before application of the coating composition of the present invention. The primer serves as a barrier coating to prevent interaction of the coating ingredients with the substrate and vice versa, and/or as an adhesive layer to adhere the coating composition to the substrate. Application of the primer may be by any of the methods used in coating technology such as, for example, spray coating, spin coating, spread coating, curtain coating, dip coating, casting or roll-coating.

The use of protective coatings, some of which may contain polymer-forming organosilanes, as primers to improve adhesion of subsequently applied coatings has been described. The use of non-tintable coatings as a primer is preferred. Examples of commercial coating products that may be used include, but are not limited to, SILVUE® 124 and HI-GARD®

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coatings, available from SDC Coatings, Inc. and PPG Industries, Inc., respectively. In addition, depending on the intended use of the coated article, it may be necessary to apply an appropriate protective coating(s), i.e., an abrasion 5 resistant coating onto the exposed surface of the coating composition to prevent scratches from the effects of friction and abrasion. In some cases, the primer and protective coatings are interchangeable, i.e., the same coating may be used as the primer and the protective coating(s). Other 10 coatings or surface treatments, e.g., a tintable coating, antireflective surface, etc., may also be applied to the cured coating of the present invention.

The coating composition of the present invention may be applied using the same methods described herein for 15 applying the primer and the protective coating(s) or other methods known in the art can be used. The coating composition may be applied by spin coating, curtain coating, dip coating, spray coating or by methods used in preparing overlays. Such methods are described in U.S. Patent 4,873,029, which 20 disclosure is incorporated herein by reference.

Following application of the coating composition to the treated surface of the substrate, the coating is cured. Depending on the substrate and monomer components selected for the coating composition of the present invention, the coating 25 may be cured by thermal polymerization, e.g. at temperatures ranging from 22°C to 200°C, by photopolymerization or by a combination of the two methods. If heating is required to obtain a cured coating, temperatures of between 80°C and a temperature above which the substrate is damaged due to 30 heating, e.g., from 80°C to 200°C, are typically used. For example, certain organic polymeric materials may be heated up to 130°C for a period of 1 to 16 hours in order to cure the coating without causing damage to the substrate. While a range of temperatures has been described for thermally curing 35 the coated substrate, it will be recognized by persons skilled in the art that temperatures other than those disclosed herein may be used. Curing of the photochromic poly(meth)acrylic

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coating composition may be accomplished by irradiating the coating with ultraviolet and/or visible light so as to initiate the polymerization reaction of the polymerizable components in the coating followed by a heating step.

- 5       Polymerization of the polymerizable components in the coating may be done by including in the composition an initiating amount of material capable of generating free radicals, such as organic peroxy compounds or azobis(organonitrile) compounds, i.e., an initiator.
- 10      Preferably, the initiator is used in the least amount necessary to start the curing process. Generally, the curing process is more efficient in the absence of oxygen, for example, in the presence of nitrogen, as a greater amount of initiator is required in the presence of oxygen. Methods for
- 15      polymerizing compositions comprised of monomers, having ethylenically unsaturated groups which are radically polymerizable, are well known to the skilled artisan and any of those well known techniques may be used to polymerize the aforescribed polymerizable organic compositions. Such
- 20      polymerization methods include thermal polymerization, photopolymerization or a combination thereof.

Examples of suitable organic peroxy compounds, that may be used as thermal polymerization initiators include: peroxymonocarbonate esters, such as tertiarybutylperoxy isopropyl carbonate; peroxydicarbonate esters, such as di(2-ethylhexyl) peroxydicarbonate, di(secondary butyl) peroxydicarbonate and diisopropylperoxydicarbonate; diacylperoxides, such as 2,4-dichlorobenzoyl peroxide, isobutyryl peroxide, decanoyl peroxide, lauroyl peroxide, propionyl peroxide, acetyl peroxide, benzoyl peroxide, p-chlorobenzoyl peroxide; peroxyesters such as t-butylperoxy pivalate, t-butylperoxy octylate, and t-butylperoxyisobutyrate; methylethylketone peroxide, and acetylhexane sulfonyl peroxide. Preferred thermal initiators are those that do not discolor the resulting coating or polymerize.

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Examples of suitable azobis(organonitrile) compounds, that may be used as thermal polymerization initiators include: azobis(isobutyronitrile), 2,2'-azobis(2,4-dimethylpentanenitrile), 1,1'-5 azobiscyclohexanecarbonitrile and azobis(2,4-dimethylvaleronitrile) or mixtures of such initiators. Preferably, the thermal initiator is 2,2'-azobis(2,4-dimethylpentanenitrile), 1,1'-azobiscyclohexanecarbonitrile or mixtures thereof.

10 The amount of thermal polymerization initiator used to initiate and polymerize the polymerizable components in the coating composition of the present invention may vary and will depend on the particular initiator used. Only that amount that is required to initiate and sustain the polymerization reaction is required, i.e., an initiating amount. With 15 respect to the preferred azobis(organonitrile) compounds, between 0.01 and 5.0 parts of that initiator per 100 parts of the polymerizable organic composition (phm) may be used. More usually, between 0.05 and 3.0 phm is used to initiate the 20 polymerization. Typically, the thermal cure cycle involves heating the polymerizable organic composition in the presence of the initiator from room temperature to 85°C to 125°C over a period of from 2 to 20 minutes for a coating and from 2 hours to 30 hours for a polymerizate.

25 Photopolymerization of the polymerizable components in the coating may be carried out in the presence of a photoinitiator using ultraviolet light and/or visible light. Photoinitiators, which are free radical initiators, are classified in two major groups based upon a mode of action. 30 Cleavage-type photoinitiators include acetophenones, α-aminoalkylphenones, benzoin ethers, benzoyl oximes, acylphosphine oxides and bisacylphosphine oxides and mixtures of such initiators. Abstraction-type photoinitiators include benzophenone, Michler's ketone, thioxanthone, anthraquinone, 35 camphorquinone, fluorone, ketocoumarin and mixtures of such initiators. Abstraction-type photoinitiators function better in the presence of materials such as amines and other hydrogen

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donor materials added to provide labile hydrogen atoms for abstraction. Typical hydrogen donors have an active hydrogen positioned alpha to an oxygen or nitrogen, e.g., alcohols, ethers and tertiary amines, or an active hydrogen atom  
5 directly attached to sulfur, e.g., thiols. In the absence of such added materials, photoinitiation may still occur via hydrogen abstraction from monomers, oligomers or other components of the system.

Cationic photoinitiators may also be used in  
10 conjunction with the aforementioned photoinitiators. Preferably, cationic initiators are used with abstraction type photoinitiators, hydrogen donor materials such as butyryl choline triphenylbutyl borate, or combinations of such materials. The preferred cationic photoinitiators are onium  
15 salts described in U.S. Patent 5,639,802, column 8, line 59 to column 10, line 46, which disclosure is incorporated herein by reference. Examples of such initiators include 4,4'-dimethyldiphenyliodonium tetrafluoroborate, phenyl-4-octyloxyphenyl phenyliodonium hexafluoroantimonate,  
20 dodecyldiphenyl iodonium hexafluoroantimonate, [4-[(2-tetradecanol)oxy]phenyl]phenyl iodonium hexafluoroantimonate and mixtures thereof.

Examples of photopolymerization initiators which may be used include benzil, benzoin, benzoin methyl ether, benzoin  
25 isobutyl ether benzophenol, acetophenone, benzophenone, 4,4'-dichlorobenzophenone, 4,4'-bis(N,N'-dimethylamino) benzophenone, diethoxyacetophenone, fluorones, e.g., the H-Nu series of initiators available from Spectra Group Limited, 2-hydroxy-2-methyl-1-phenylpropan-1-one, 1-hydroxycyclohexyl phenyl ketone,  
30 2-isopropylthioxantone,  $\alpha$ -aminoalkylphenone, e.g., 2-benzyl-2-dimethylamino-1-(4-morpholinophenyl)-1-butanone, acylphosphine oxides, e.g., 2,6-dimethylbenzoyldiphenyl phosphine oxide, 2,4,6-trimethylbenzoyldiphenylphosphine oxide, 2,6-dichlorobenzoyldiphenylphosphine oxide, and  
35 2,6-dimethoxybenzoyldiphenylphosphine oxide, bisacylphosphine oxides, e.g.,

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bis(2,6-dimethoxybenzoyl)-2,4,4-trimethylpentylphosphine oxide,  
bis(2,6-dimethylbenzoyl)-2,4,4-trimethylpentylphosphine oxide,  
bis (2,4,6-trimethylbenzoyl)-2,4,4-trimethylpentylphosphine  
oxide, and bis(2,6-dichlorobenzoyl)-2,4,4-  
5 trimethylpentylphosphine oxide, phenyl-4-  
octyloxyphenyliodonium hexafluoroantimonate,  
dodecyldiphenyliodonium hexafluoroantimonate, (4-(2-  
tetradecanol)oxyphenyl)-iodonium hexafluoroantimonate and  
mixtures thereof.

10 Examples of hydrogen or electron donor materials  
which may be utilized in combination with photoinitiators  
include, but are not limited to, one or more of the following:  
N,N-dimethyl-2,6-diisopropylanile, N-phenylglycine, ethyl-4-  
dimethylaminobenzoate, octyl-p-(dimethylamino)benzoate, N-  
15 methyldiethanolamine, triethanolamine, diethylethanolamine,  
triethylamine, diisopropylethylamine,  
dimethylaminomethylphenol, benzylidimethylamine, tetramethyl  
ammonium triphenylbutyl borate and butyryl choline  
triphenylbutyl borate.

20 The amount of photopolymerization initiator used to  
initiate and polymerize the polymerizable components of the  
coating vary and will depend on the particular initiator used.  
Only that amount that is required to initiate and sustain the  
polymerization reaction is required, i.e., an initiating  
25 amount. The photopolymerization initiator may be used in an  
amount from 0.01% to 5% by weight, based on the weight of  
monomer components.

The light source used for the photopolymerization is  
preferably selected from those which emit ultraviolet light  
30 and/or visible light. The light source may be a mercury lamp,  
a mercury lamp doped with FeI<sub>3</sub> and/or GaI<sub>3</sub>, a germicidal lamp,  
a xenon lamp, a tungsten lamp, a metal halide lamp or a  
combination of such lamps. Typically, the absorbance spectra  
of the photoinitiator or photoinitiator combination is matched  
35 with the spectral output of the bulb, for example, H bulb, D  
bulb, Q bulb and/or V bulb for highest curing efficiency. The  
exposure time may vary depending upon the wavelength and

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intensity of the light source, the photoinitiator, thickness of the coating and the shape of the mold for the polymerizate. The coating and/or polymerizate of the present invention may also be cured using an electron beam process which doesn't require the presence of an initiator.

The amount of thermal polymerization initiator and/or photopolymerization initiator and the consequent cure cycle should be adequate to produce a coating or polymerizate according to the present invention having a Fischer micro-hardness of from 50 to 150 Newtons per mm<sup>2</sup> and the desired photochromic properties of a ΔOD after 30 seconds of at least 0.15, after 15 minutes of at least 0.50 and a bleach rate of not more than 200 seconds - all as measured in the 22°C (72°F) Photochromic Performance Test described herein.

Desirably, the resulting cured coating meets commercially acceptable "cosmetic" standards for optical coatings. Examples of cosmetic defects of coated lens include pits, spots, inclusions, cracks and crazing of the coating. Most preferably, the coatings prepared using the photochromic coating composition of the present invention are substantially free of cosmetic defects.

Examples of polymeric organic materials that may be substrates for the coating composition of the present invention are polymers, i.e., homopolymers and copolymers, of the monomers and mixtures of monomers disclosed in U. S. Patent 5,658,501 from column 15, line 28 to column 16, line 17, which is incorporated herein by reference.

Examples of such monomers and polymers include: polyol(allyl carbonate)monomers, e.g., diethylene glycol bis(allyl carbonate), which monomer is sold under the trademark CR-39; polyol(meth)acryloyl terminated carbonate monomer; diethylene glycol dimethacrylate monomers; ethoxylated phenol methacrylate monomers; diisopropenyl benzene monomers; ethoxylated trimethylol propane triacrylate monomers; ethylene glycol bismethacrylate monomers; poly(ethylene glycol)bis methacrylate monomers; urethane acrylate monomers; poly(ethoxylated bisphenol A

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dimethacrylate); poly(vinyl acetate); poly(vinyl alcohol);  
poly(vinyl chloride); poly(vinylidene chloride);  
polyurethanes, polythiourethanes, thermoplastic  
polycarbonates, such as the carbonate-linked resin derived  
5 from bisphenol A and phosgene, which is sold under the  
trademark LEXAN; polyesters, such as the material sold under  
the trademark MYLAR; poly(ethylene terephthalate); polyvinyl  
butyral; and poly(methyl methacrylate), such as the material  
sold under the trademark PLEXIGLAS and mixtures thereof.

10 More particularly contemplated, is the use of the  
combination of the photochromic poly(meth)acrylic coating  
composition of the present invention with polymeric organic  
materials such as optically clear polymerizates, i.e.,  
materials suitable for optical applications, such as optical  
15 elements, e.g., plano and vision correcting ophthalmic lenses,  
windows, clear polymeric films, automotive transparencies,  
e.g., windshields, aircraft transparencies, plastic sheeting,  
etc. Such optically clear polymerizates may have a refractive  
index that may range from about 1.48 to about 2.00, e.g., from  
20 about 1.495 to about 1.75. Specifically contemplated are  
optical elements made of thermoplastic polycarbonates and  
thermoset polycarbonates. Application of the photochromic  
poly(meth)acrylic coating composition of the present invention  
to a polymeric film in the form of an "applique" may be  
25 accomplished using the methods describe in column 17, line 28  
to column 18, line 57 of U.S. Patent 5,198,267.

Most particularly contemplated, is the use of the  
combination of the photochromic poly(meth)acrylic coating  
composition of the present invention with optical elements to  
30 produce photochromic optical articles. Such articles may be  
prepared by sequentially applying, e.g., by spraying, to the  
optical element a primer, the photochromic poly(meth)acrylic  
composition of the present invention and appropriate  
protective coating(s). The resulting cured coating preferably  
35 meets commercially acceptable "cosmetic" standards for optical  
coatings, and most preferably, is substantially free of  
cosmetic defects.

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In another embodiment of the invention, the photochromic coating composition may be used to form polymerizates, e.g., shaped solid optically clear polymerizates, as defined herein with respect to polymeric organic materials. Polymerization of the coating composition may be accomplished by adding to the polymerizable composition an initiator and curing in a manner appropriate for the specific composition and desired shape. The resulting polymerizate may have a thickness of 0.5 millimeters or more.

In one contemplated embodiment, a glass two-part lens mold is filled with desolvated photochromic coating composition, i.e., the polymerizable composition containing a minimal amount of solvent, which may additionally contain an initiating amount of thermal initiation. The glass mold is sealed and placed in an oven. A thermal polymerization cycle is started, which may range from 10 to 20 hours duration at about 45 to 110°C. Afterwards, the mold is opened and the resulting lens, i.e., polymerizate, is removed. The polymer lens thus produced is then annealed for a period and at a temperature sufficient to eliminate residual stresses in the lens. The temperature is generally between 100 and 110°C and annealing is carried out for 1 to 5 hours. If the photochromic component was not included in the polymerizable coating composition, it may be incorporated into the polymerizate by imbibition, permeation or other transfer methods known to those skilled in the art.

In a further contemplated embodiment, a semi-finished single vision (SFSV) lens having an adherent layer of the photochromic poly(meth)acrylic coating of the present invention may be prepared by an overmolding process. Typically, a predetermined volume of the photochromic coating composition is dispensed into a volume defined by a horizontally positioned spherical negative glass mold, which approximately matches the front surface curve and the outer diameter of a SFSV lens. The glass mold is fitted with a circular polyvinyl chloride gasket that extends approximately 0.2 millimeters above the mold and has an inside diameter

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approximately 4 millimeters less than the outside diameter of the glass mold. After the monomer is dispensed, the front surface of the SFSV lens is carefully placed on the dispensed polymerizable composition which spreads to fill the defined volume. A circular glass plate having an outside diameter equal to or greater than that of the lens is placed onto the rear surface of the lens. The resulting assembly is held together with a spring clamp and sealed by taping the circumference of the plate-lens-gasket-mold using polyurethane tape. The assembly is preheated in an air oven from 30 to 95°C for a 60 minute interval and subsequently the temperature of the oven is increased from 95 to 125°C and then decreased to 82°C over a 3 hour interval. The assembly is separated by inserting a wedge beneath the gasket between the lens and mold. The lens now has an adherent layer of from 180 to 200 microns.

The present invention is more particularly described in the following examples, which are intended as illustrative only, since numerous modifications and variations therein will be apparent to those skilled in the art.

#### COMPOSITION A

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
BPA 4EO DMA(1)	500.0
PEG 600 DMA(2)	166.7

- (1) Bisphenol A ethoxylated (2EO/phenol) dimethacrylate.  
(2) Polyethylene glycol (600) dimethacrylate.

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#### COMPOSITION B

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

	<u>Materials</u>	<u>Weight (Grams)</u>
	NMP <sup>(3)</sup>	50.0
	Photochromic No.1 <sup>(4)</sup>	40.0
5	(3) N-methylpyrrolidone solvent of 99 percent purity.	
	(4) A photochromic naphtho[1,2-b]pyran that exhibits a blue color when irradiated with ultraviolet light.	

---

10

#### COMPOSITION C

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

	<u>Materials</u>	<u>Weight (Grams)</u>
	COMPOSITION B	14.4
	H-Nu 470 <sup>(5)</sup>	0.080
	SarCat® CD-1012 <sup>(6)</sup>	0.193
	DIDMA <sup>(7)</sup>	0.320
15	(5) A fluorone visible light initiator having a $\lambda$ max at 470 nm, reported to be 2,4-diiodo-6-butoxy-3-fluorone, available from Spectra Group Limited.	
	(6) A cationic photoinitiator, reported to be [4-[(2-tetradecanol)oxy]phenyl]phenyl iodonium hexafluoroantimonate, available from Sartomer Company, Inc.	
20	(7) An amine hydrogen donor, reported to be N,N-dimethyl-2,6-diisopropylaniline, available from Carbolabs Inc.	

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COMPOSITION D

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION B	14.4
SarCat® CD-1012	0.56
Borate 5(8)	0.56
5 (8) A hydrogen donor, reported to be butyryl choline triphenylbutyl borate, available from Spectra Group Limited.	

10

COMPOSITION E

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION B	28.8
H-Nu 470	0.048
SarCat® CD-1012	0.400
Borate 5	0.400

15

COMPOSITION F

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION B	14.4
H-Nu 470	0.080
Borate 5	0.400

20

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EXAMPLE 1

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION E	3.61
COMPOSITION A	20.0

5

EXAMPLE 2

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION F	3.61
COMPOSITION A	20.0

10

EXAMPLE 3

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION C	3.61
COMPOSITION A	20.0

15

EXAMPLE 4

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION D	3.60
COMPOSITION A	20.0

20

EXAMPLE 5

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 17 hours.

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<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION B	3.6
BPA 4EO DMA	16.0
PEG 600 DMA	4.0
DIDMA	0.083
OPPI (9)	0.051
H-Nu 470	0.020

- (9) A cationic photoinitiator, reported to be phenyl-4-octyloxyphenoxyiodonium hexafluoroantimonate, available from GE Silicones.

5

EXAMPLE 6

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION C	3.75
BPA 4EO DMA	14.0
PEG 600 DMA	6.0

10

EXAMPLE 7

The following materials were added in the order described to a suitable vessel equipped with an agitator and mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
COMPOSITION E	3.71
COMPOSITION A	20.0

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EXAMPLE 8

The procedure of Example 6 was followed except that 13.0 grams of BPA 4 EO DMA and 7.0 grams of PEG 600 DMA were used.

5

EXAMPLE 9

The following materials were added in the order described to a suitable vessel equipped with an agitator.

Charge 1

<u>Material</u>	<u>Weight (Grams)</u>
NMP	2.0
Photochromic 1	1.0

10

Charge 2

<u>Material</u>	<u>Weight (Grams)</u>
BPA 4EO DMA	6.3
PEG 600 PMA	3.7
BAPO(10)	0.05
FC-431(11)	0.01

(10) Bis(2,6-dimethoxybenzoyl)phenylphosphine oxide.

(11) A fluorinated surfactant available from 3M.

15

After Charge 1 was added to the vessel, the agitator was turned on and mixed for 60 minutes. Charge 2 was added and the resulting solution was mixed for another 60 minutes. The resulting solution was kept quiescent for about 24 hours or until it was substantially free of bubbles.

20

EXAMPLE 10

The procedure of Example 9 was followed except that the following materials were used.

<u>Material</u>	<u>Weight (Grams)</u>
COMPOSITION B	3.6

25

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<u>Material</u>	<u>Weight (Grams)</u>
BPA 4EO DMA	13.4
PEG 600 PMA	6.6
TPO(12)	0.10
FC-431	0.02

(12) 2,4,6-Trimethylbenzoyldiphenylphosphine oxide.

EXAMPLE 11

The procedure of Example 10 was followed except that  
5 BAPO was used in place of TPO.

COMPARATIVE EXAMPLE 2

The procedure of Example 9 was followed except that  
the following materials were used.

<u>Material</u>	<u>Weight (Grams)</u>
NMP	2.0
Photochromic 1	1.0
BPA 2EO DMA(13)	5.0
BPA 10EO DMA(14)	4.0
GMA(15)	1.0
BAPO	0.05
FC-431	0.01

- 10 (13) Bisphenol A ethoxylated (1EO/phenyl) dimethacrylate.  
 (14) Bisphenol A ethoxylated (5EO/phenyl) dimethacrylate.  
 (15) Glycidyl methacrylate.

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COMPARATIVE EXAMPLE 3

The procedure of Comparative Example 2 was followed except that the following materials were used.

<u>Material</u>	<u>Weight (Grams)</u>
NMP	2.0
Photochromic 1	1.0
BPA 2EO DMA	4.0
TEG DMA(16)	5.5
HEMA(17)	0.5
BAPO	0.05
FC-431	0.01

- (16) Tetraethylene glycol dimethacrylate.  
5 (17) 2-Hydroxyethyl methacrylate.

COMPARATIVE EXAMPLE 4

The procedure of Comparative Example 3 was followed except that the following materials were used.

<u>Material</u>	<u>Weight (Grams)</u>
NMP	2.0
Photochromic 1	1.0
TriEG DMA(18)	6.0
HMDMA(19)	1.5
BAPO	0.05
FC-431	0.01

- 10 (18) Triethylene glycol dimethacrylate.  
(19) Hexamethylene dimethacrylate.

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EXAMPLE 12

Part A

The following materials were added in the order described to a suitable vessel equipped with an agitator and  
5 mixed for 1 hour.

<u>Materials</u>	<u>Weight (Grams)</u>
NMP	20.0
Photochromic 1	10.0
VAZO-52(20)	1.25
FC-431	0.05

- (20) Vinyl polymerization catalyst, reported to be 2,2'-azobis(2,4-dimethylpentanenitrile), available from DuPont.

10

Part B

The procedure of Part A was followed using the following materials. The resulting solution was kept quiescent for about 24 hours or until it was substantially free of bubbles.

<u>Materials</u>	<u>Weight (Grams)</u>
Product of Part A	1.55
BPA 4EO DMA	2.00
PEG 400 DMA(21)	3.00

15

- (19) Poly(ethylene glycol)dimethacrylate having a number average molecular weight of approximately 508.

COMPARATIVE EXAMPLE 5

20 The procedure of Example 12 was followed except that 5 grams of BPA 10EO DMA was used in place of BPA 4EO DMA and PEG 400 DMA.

EXAMPLE 13

25 The procedure of Example 12 was followed except that 4 grams of BPA 6EO DMA and 1 gram of PEG 600 DMA were used.

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EXAMPLE 14

The following materials were added in the order listed to a suitable container and mixed for 1 hour. The resulting solution was left quiescent for about 24 hours or 5 until it was substantially free of bubbles.

<u>Materials</u>	<u>Weight (Grams)</u>
NMP	5.00
Photochromic 1	4.00
VAZO-52	0.63
FC 431	0.08
PEG(600)DMA	18.50
BPA(4EO)DMA	31.52

EXAMPLE 15

The procedure of Example 14 was followed except that VAZO-52 was replaced with an equal amount of VAZO-88 (a vinyl 10 polymerization catalyst available from Du Pont).

EXAMPLE 16

The procedure of Example 14 was followed except that 25 grams of BPA(4EO)DMA and 25 grams of PEG(600) were used.

15

COMPARATIVE EXAMPLE 6

The procedure of Example 14 was followed except that 35 grams of BPA 4EO DMA and 15 grams of PEG 600 DMA were used.

20

EXAMPLE 17

The following materials were added in the order described to a suitable vessel equipped with an agitation and means for heating.

Change 1

<u>Material</u>	<u>Weight (Grams)</u>
NMP	3.0
Photochromic 1	0.81
Photochromic 2 <sup>(22)</sup>	0.69

25

- 35 -

Change 2

<u>Material</u>	<u>Weight (Grams)</u>
BPA 4EO DMA	9.49
PEG 600 DMA	5.57
TINUVIN 144 UV Stabilizer <sup>(23)</sup>	0.31
TPO	0.07
FC-431	0.02

- (22) A naphtho[1,2-b]pyran that exhibits a red-orange color when irradiated with ultraviolet light.
- (23) A hindered amine ultraviolet light stabilizer available from CIBA-GEIGY Corp.

After Charge 1 was added to the vessel, the agitator was turned on and the solution was heated to 60°C. to dissolve the components. Charge 2 was added and the resulting solution was maintained at 60°C. and mixed for about 1½ hours.

EXAMPLE 18

PART A

Step 1

An isophorone diisocyanate-hydroxyethyl methacrylate (IPDI-HEMA) adduct having a total solids content of approximately 83 weight percent was used. A procedure for preparing such a material is described by Antonucci, J. M., et al, "Isocyanato Urethane Methacrylates Derived from Hydroxyethyl Methacrylates", Journal of Dental Research, January 1980, pp. 35-43, which is incorporated herein by reference.

Step 2

The (IPDI-HEMA) adduct, 44 grams, of Step 1 was added to a reaction flask containing methyl ethyl ketone, 400 grams. The resulting mixture was stirred until a clear solution was obtained.

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Part B

Lens blanks prepared from CR-39® monomer were immersed in a 40 weight percent, based on the total weight of the solution, of an aqueous potassium hydroxide solution for 5 20 minutes and rinsed with deionized water. Lens blanks which were coated with the solutions of Examples 5, 6 and 10 to 16 and Comparative Examples (CE) 1, 5 and 6 were used without further processing. Lenses to be coated with the solutions of Examples 1 through 4, 7 and 8 were spin coated with the 10 solution of Part A at 1500 rpm for 10 sec. The coated lenses were heated at 100° C for 10 minutes; cooled to room temperature; rinsed with acetone or gently wiped with a tissue, such as KIMWIPES® EX-L, soaked with acetone; washed with dishwashing detergent and water; rinsed with isopropyl 15 alcohol or gently wiped with an isopropyl alcohol soaked tissue prior to further processing as described in Parts C and D.

Lens blanks which were coated with the solutions of Examples 9 and 17 and Comparative Examples 2, 3 and 4 were 20 pretreated prior to coating. Lens blanks coated with the solution of Example 17 were treated with a proprietary adhesion promoter solution and process, while the others were coated with an adhesion promoting solution that was prepared by adding N,N-dimethyldodecyl amine (0.3g) to a reaction flask 25 containing methacrylic anhydride (10.0g) and then stirring the contents of the flask until the resultant solution was clear. The adhesion promoting solution was applied using the aforescribed procedure for applying the adhesion promoting solution of Part A.

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Part C

The lenses prepared in Part B were spin coated at the speeds and for the time period listed in Table 1. The variety of conditions listed in Table 1 were used to obtain a 5 coating thickness of about 20 microns and/or comparable UV absorbances at 390 nanometers due to changes in solution viscosity.

Table 1

	<u>Revolutions per minute</u>	<u>Time (seconds)</u>
Example 5	2200	8
Examples 3, 4 & 7	2000	8
Examples 1 & 2	2000	7
Example 8	2000	6
Example 6	1900	8
CE 1	1600	8
Example 9	1500	3
Example 12	1500	3.5
Example 17	1500	4
Examples 14, 15, 16 & CE 6	1500	7
Examples 10 & 11	1500	10
CE 5	1500	9
CE 2	1500	5
Example 13	1300	9
CE 3	700	4
CE 4	500	3

10

Part D

The lenses coated with Examples 1-3 were placed in a vacuum chamber having a quartz window in which a residual pressure of at least 40 mm was attained within 2 minutes of 15 pumping. The vacuum chamber was filled with nitrogen and the coated lenses were irradiated for 6 minutes at a distance of 2.5 in (6.35 cm) from a 500 watt Quartzline® Tungsten-Halogen lamp. The lens coated with the solution of Example 8 was

- 38 -

processed in a similar fashion except that the lens was irradiated for 5 minutes. The lenses coated with Examples 5 and 6 and CE 1 were irradiated for 5 minutes at a distance of 1.5 in (3.81 cm) from a 500 watt Quartzline® Tungsten-Halogen lamp. The lenses coated with Example 7 were irradiated for 4 minutes at a distance of 1.5 in (3.81 cm) from a 500 watt Quartzline® Tungsten-Halogen.

In the chamber, the lenses coated with Examples 1-3 reached a maximum temperature of 155°C as measured by a 10 thermocouple attached to the surface of an uncoated lens blank. The lenses coated with the solutions of Examples 7 and 8 reached maximum temperatures of 174°C and 145°C, respectively. The lenses coated with the solutions of Examples 5 and 6 and CE 1 were monitored for temperature 15 exposure in a similar manner and reached a maximum temperature of 200°C.

The lens coated with the solution of Example 4 was processed in the same vacuum chamber as the others except that the chamber was backflushed with argon and the coated lens was 20 exposed for 9 minutes at a distance of 4 inches from a xenon lamp solar simulator. The lamp used was model # 81172 from the Oriel Corporation. The lens reached a temperature of 100°C during the curing process.

The lenses coated with the solutions of Examples 12 to 16, CE 5 and CE 6 in Part C were placed in the 25 aforescribed vacuum chamber. The chamber was flushed with nitrogen for about 3 minutes. The lenses were cured by exposure to infrared radiation for 4 minutes in the chamber. The lenses reached a maximum temperature of about 124°C. The 30 lenses coated in Part C with the solutions of Examples 9 to 11, Example 17 and CE 2 to CE 4 were cured by exposure to ultraviolet light by one of two procedures. The lenses coated with the solutions of Examples 9 and 17 and CE 2, 3 and 4 were placed in the vacuum chamber. The chamber was flushed with 35 nitrogen for about 30 seconds and the lens exposed to UV light by passing them 5 times, while supported on a conveyor belt,

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at a speed of 3 feet per minute 4 inches beneath an ultraviolet "type D" lamp of 6 inch length from Fusion UV Systems, Inc. The lamp had an output rating of 300 watts per inch. Multiple lenses coated with the solutions of Examples 5 10 and 11 were cured in an Aetek Q.C. unit under the conditions of varying conveyor speed, lamp type, e.g., mercury lamps (Hg), mercury lamps with dopants iron iodide ( $FeI_3$ ) or gallium iodide ( $GaI_3$ ), power setting and number of passes through the unit as indicated in Table 2.

10

Table 2

<u>Example No.</u>	<u>Conveyor speed ft./min.</u>	<u>Lamp type(s) (watts / inch)</u>	<u>Number of Passes</u>
10a	7	$FeI_3$ (200)	4
10b	6	$GaI_3$ (200)	4
11a	7	$FeI_3$ (200)	4
11b	6	$GaI_3$ (200)	4
11c	5.5	$GaI_3$ (200) & Hg (200)	2

Part E

The photochromic coated lenses prepared in Part D 15 were subjected to microhardness testing using a Fischerscope HCV, Model H-100 available from Fischer Technology, Inc. The microhardness, measured in Newtons per  $mm^2$ , of the coated lenses of the Examples and Comparative Examples was determined under the conditions of a 100 milliNewton load, 30 load steps 20 and 0.5 second pauses between load steps. The results reported in Table 3 were measured at an indentor depth of  $2\mu m$ .

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Table 3Microhardness  
Newtons per mm<sup>2</sup>

<u>Example No.</u>	
1	127
2	113
3	123
4	120
5	127
6	75
8	56
9	99
10	100
10	102
11	105
11	105
11	100
12	105
13	120
14	107
15	94
16	58
17	85
CE 1	30
CE 2	169
CE 3	173
CE 4	173
CE 5	41
CE 6	126

The results of Table 3 show that only the photochromic coated lenses prepared using the solutions of Examples 1-6, 8-17 and Comparative Example 6 demonstrated microhardness results within the desired range of 50 to 150 Newtons per mm<sup>2</sup>.

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Part F

The adhesion of the coating to duplicate lenses coated with Example 7 prepared in Parts C and D was tested by 5 using the method found in ASTM D-3359-93 Standard Test Method for Measuring Adhesion by Tape Test - Method B. The tape used was 3M #600 clear tape. Testing was performed prior to immersing the lenses in boiling deionized water and after 60 minutes of immersion in the boiling water. The adhesion test 10 results revealed no loss of the coating of Example 7 before or after immersion in the boiling water

Two lenses coated with the solution of Example 17 were processed further by different methods. The first lens, identified as lens 17A, was etched by immersion in a 40 weight 15 percent aqueous potassium hydroxide solution for 5 minutes and rinsed with deionized water. The second lens identified as lens 17B, was not etched. Both lenses were coated with HI-GARD® 1040 abrasion resistant transparent coating (available from PPG Industries, Inc.) by spin coating at 2000 rpm for 13 20 seconds. Afterwards, the coated lenses were cured for 20 minutes at 60°C and for 3 hours at 120°C.

The adhesion of the abrasion resistant coating applied to lenses 17A and 17B was tested by using the method found in ASTM D-3359-93 Standard Test Method for Measuring 25 Adhesion by Tape Test - Method B. The tape used was 3M #600 clear tape. Testing was performed after immersing the lenses in boiling deionized water for 60 minutes. The adhesion test results revealed no loss of protective coating on lens 17A, while lens 17B experienced a 100 percent loss of the 30 protective coating.

Part G

The photochromic coated lenses prepared in Part D, except those coated with the solutions of Examples 7 and 17, 35 were screened for ultraviolet absorbance and lenses having comparable UV absorbance at 390 nanometers were tested for photochromic response on an optical bench. Prior to testing

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on the optical bench, the photochromic lenses were exposed to 365 nanometer ultraviolet light for about 30 minutes to activate the photochromic compounds and then placed in a 75°C oven for about 20 minutes to bleach (inactivate) the 5 photochromic compounds. The coated lenses were then cooled to room temperature, exposed to fluorescent room lighting for at least 2 hours and then kept covered for at least 2 hours prior to testing on an optical bench. The bench was fitted with a 300 watt Xenon arc lamp, a remote controlled shutter, a Schott 10 3 mm KG-2 band-pass filter, which removes short wavelength radiation, neutral density filter(s), a temperature controlled water cell/sample holder having quartz windows in which the lens to be tested was inserted.

The power output of the optical bench, i.e., the 15 dosage of light that the sample lens would be exposed to, was adjusted to 0.67 milliwatts per square centimeter ( $\text{mW}/\text{cm}^2$ ) for samples tested at 22°C (72° F) and at 0.90  $\text{mW}/\text{cm}^2$  for samples tested at 27°C (81° F). Measurement of the power output was made using a GRASEBY Optronics Model S-371 portable photometer 20 (Serial #21536) with a UV-A detector (Serial # 22411) or comparable equipment. The UV-A detector was placed into the sample holder and the light output was measured. Adjustments to the power output were made by increasing or decreasing the lamp wattage or by adding or removing neutral density filters 25 in the light path.

A monitoring, collimated beam of light from a tungsten lamp was passed through the sample at 30° normal to the surface of the lens. After passing through the lens, the light from the tungsten lamp was directed through a 570 nm 30 filter attached to a detector. The output signals from the detector were processed by a radiometer. The control of the test conditions and acquisition of data was handled by the Labtech Notebook Pro software and the recommended I/O board.

Change in optical density ( $\Delta\text{OD}$ ) from the bleached 35 state to the darkened state was determined by establishing the initial transmittance, opening the shutter from the Xenon lamp

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to provide ultraviolet radiation to change the test lens from the bleached state to an activated (i.e., darkened) state at selected intervals of time, measuring the transmittance in the activated state, and calculating the change in optical density 5 according to the formula:  $\Delta OD = \log(\%T_b/\%T_a)$ , where %T<sub>b</sub> is the percent transmittance in the bleached state, %T<sub>a</sub> is the percent transmittance in the activated state and the logarithm is to the base 10.

The ΔOD was measured after the first thirty (30) 10 seconds of UV exposure and then after fifteen (15) minutes in the 22°C (72°F) Photochromic Performance Test using the test parameters described hereinafter. The Bleach Rate (T 1/2) is the time interval in seconds for the ΔOD of the activated form of the photochromic compound in the coated lenses to reach one 15 half the highest ΔOD at 22°C (72°F) after removal of the source of activating light. For the lenses tested at 27°C (81°F), the ΔOD was measured after the first thirty (30) seconds of UV exposure and then after eight (8) minutes in the 27°C (81°F) Photochromic Performance Test using the 20 aforescribed test parameters. Results for the photochromic coated lenses tested at 27°C (81°F) are listed in Table 4. Results for the photochromic coated lenses tested at 22°C (72°F) are listed in Table 5.

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TABLE 4

<u>Example No.</u>	<u>81° F ΔOD @ 30 Seconds</u>	<u>81° F ΔOD @ 8 Minutes</u>	<u>81° F T 1/2 Seconds</u>
1	0.25	0.71	132
2	0.48	0.71	40
3	0.28	0.73	102
4	0.26	0.72	122
5	0.29	0.74	98
6	0.47	0.79	50
8	0.55	0.79	40
CE 1	0.55	0.79	.38

In Table 4, all of the photochromic coated lenses tested exhibited the photochromic properties of a 27°C ΔOD at 30 seconds of at least 0.20 and at 8 minutes of at least 0.50 and a Bleach Rate less than 150 seconds.

Table 5

<u>Example No.</u>	<u>72°F ΔOD @ 30 (seconds)</u>	<u>72°F ΔOD @ 15 (minutes)</u>	<u>72°F (T 1/2) (seconds)</u>
9	0.30	0.86	130
10a	0.25	0.76	130
10b	0.22	0.74	152
11a	0.20	0.74	180
11b	0.19	0.74	194
11c	0.22	0.72	144
12	0.34	0.85	113
13	0.25	0.87	172
14	0.25	0.87	180
15	0.26	0.80	139
16	0.40	0.78	84
CE 2	0.12	0.67	407
CE 3	0.11	0.66	562
CE 4	0.12	0.65	556
CE 5	0.46	0.85	61
CE 6	0.22	0.85	318

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The results of Table 5 show that lenses coated with Comparative Example 5 in addition to those coated with Examples 9 through 16 demonstrated acceptable photochromic performance results for 22°C (72°F), i.e., a ΔOD of at least 5 0.15 after 30 seconds and at least 0.50 after 15 minutes with a Bleach rate (T 1/2) of less than 200 seconds.

Comparative Examples 2 through 4 were prepared using components disclosed in WO 96/37573 A1 that did not yield coated lenses demonstrating either the desired Fischer 10 microhardness and/or photochromic performance. Comparative Example 5 demonstrated a Fischer microhardness below the desired level. Comparative Example 6 contained components in proportions that resulted in a Bleach rate higher than the desired limit of less than 200 seconds.

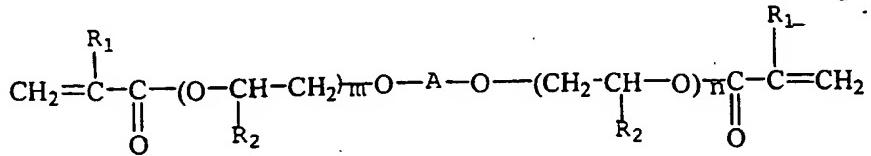
15 Although the present invention has been described with reference to specific details of certain embodiments thereof, it is not intended that such details should be regarded as limitations upon the scope of the invention except insofar as they are included in the accompanying claims.

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We claim:

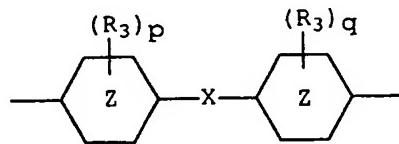
1. An article comprising, in combination, a substrate and a photochromic poly(meth)acrylic coating on at least one surface of said substrate, said coating consisting essentially of a polymerize of:

(a) at least two difunctional (meth)acrylate monomers selected from (i) a first monomer, represented by the following general formula:



10

wherein each  $\text{R}_1$  is hydrogen or methyl, each  $\text{R}_2$  is hydrogen or  $\text{C}_1\text{-C}_2$  alkyl,  $m$  and  $n$  are each a positive number, the sum of  $m$  and  $n$  being greater than 3 but less than 15, and  $\text{A}$  is a divalent linking group selected from the group consisting of straight or branched chain alkylene, phenylene,  $\text{C}_1\text{-C}_9$  alkyl substituted phenylene, and a group represented by the following graphic formula:

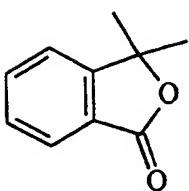


20 wherein, each  $\text{R}_3$  is  $\text{C}_1\text{-C}_4$  alkyl, chlorine or bromine,  $p$  and  $q$  are each an integer from 0 to 4,



represents a divalent benzene group or a divalent cyclohexane group and  $\text{X}$  is  $\text{O}$ ,  $\text{S}$ ,  $-\text{S}(\text{O}_2)-$ ,  $-\text{C}(\text{O})-$ ,  $-\text{CH}_2-$ ,  $-\text{CH}=\text{CH}-$ ,  $-\text{C}(\text{CH}_3)_2-$ ,  $-\text{C}(\text{CH}_3)(\text{C}_6\text{H}_5)-$  or

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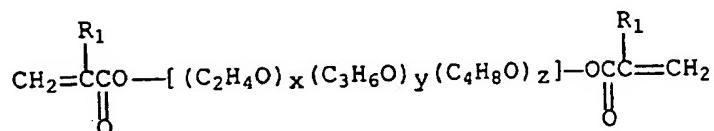
when



is the divalent benzene group, and X is O, S, -CH<sub>2</sub>-, or -  
5 -C(CH<sub>3</sub>)<sub>2</sub>- when



is the divalent cyclohexane group; and (ii) a second monomer  
represented by the following general formula:



10

wherein each R<sub>1</sub> is hydrogen or methyl, x, y and z are each a number between 0 and less than 15 and the sum of x, y and z is greater than 3 and less than 15;

(b) from 0.5 to 40.0 weight percent, based on the  
15 total weight of monomers, of a photochromic component; and  
(c) from 0 to 10 weight percent, based on the  
total weight of monomers, of polymerization initiator selected  
from thermal initiators, photoinitiators or mixtures of such  
initiators, said coating being substantially free of materials  
20 selected from the group consisting of 2,4-diphenyl-4-methyl-1-  
pentene, dodecyl mercaptan, butyl mercaptan, diisopropenyl  
benzene and thiophenol.

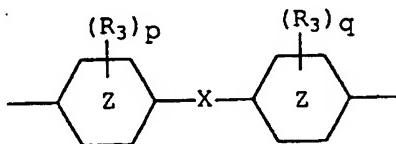
2. The article of claim 1 wherein said  
25 photochromic poly(meth)acrylic coating exhibits a Fischer  
microhardness of between 50 and 150 Newtons per mm<sup>2</sup>, a ΔOD at

- 48 -

30 seconds of at least 0.15 and at 15 minutes of at least 0.50, and a bleach rate of not more than 200 seconds - all as measured at 22°C (72°F).

5       3. The article of claim 1 wherein:

(a) for said first monomer, each R<sub>1</sub> is methyl, each R<sub>2</sub> is hydrogen, the divalent linking group A is represented by the following graphic formula:



10 wherein



is the divalent benzene group, p and q are each 0 and X is -C(CH<sub>3</sub>)<sub>2</sub>-; and

(b) for said second monomer, each R<sub>1</sub> is methyl, x is 15 a number greater than 3 and less than 15, and y and z are each 0.

4. The article of claim 1 wherein said polymerization initiator is a thermal polymerization initiator 20 selected from peroxymonocarbonate esters, peroxydicarbonate esters, diacylperoxides, peroxyesters, methylethylketone peroxide, acetylhexane sulfonyl peroxide, azobisisobutyronitrile, 2,2'-azobis(2,4-dimethylpentanenitrile), 1,1'-azobiscyclohexanecarbonitrile, 25 azobis(2,4-dimethylvaleronitrile) or mixtures of such initiators.

5. The article of claim 4 wherein said thermal polymerization initiator is 2,2'-azobis(2,4-dimethylpentanenitrile), 1,1'-azobiscyclohexanecarbonitrile or mixtures thereof.

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6. The article of claim 1 wherein said polymerization initiator is a photoinitiator selected from acetophenones,  $\alpha$ -aminoalkylphenones, benzoin ethers, benzoyl oximes, acylphosphine oxides, bisacylphosphine oxides or 5 mixtures of such initiators.

7. The article of claim 1 wherein said polymerization initiator is a photoinitiator selected from benzophenone, Michler's ketone, thioxanthone, anthraquinone, 10 camphorquinone, fluorone, ketocoumarin or mixtures of such initiators.

8. The article of claim 7 wherein said polymerization initiator further comprises a hydrogen donor 15 material.

9. The article of claim 7 wherein said polymerization initiator further comprises a cationic photoinitiator.

20

10. The article of claim 1 wherein the photochromic component comprises:

(a) at least one photochromic compound having a visible lambda max of from 400 nanometers to 525 nanometers;

25 and

(b) at least one photochromic compound having a visible lambda max of greater than 525 nanometers to 700 nanometers.

30

11. The article of claim 10 wherein the photochromic compounds are benzopyrans, naphthopyrans, phenanthropyrans, quinopyrans, indeno-fused naphthopyrans, benzoxazines, naphthoxazines, spiro(indoline)pyridobenz-oxazines, metal-dithizonates, fulgides, fulgimides or mixtures 35 thereof.

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12. The article of claim 1 wherein the cured coating has a thickness of from 5 to 200 microns.

13. The article of claim 12 wherein the thickness  
5 is from 10 to 100 microns.

14. The article of claim 1 wherein said substrate is paper, glass, ceramic, wood, masonry, textile, metal or polymeric organic material.

10

15. The article of claim 14 wherein the polymeric organic material is a solid transparent polymer selected from the group consisting of poly(methyl methacrylate), poly(ethylene glycol bismethacrylate), poly(ethoxylated bisphenol A dimethacrylate), thermoplastic polycarbonate, poly(vinyl acetate), polyvinylbutyral, polyurethane, polythiourethanes, and polymers of members of the group consisting of polymerizates of diethylene glycol bis(allyl carbonate) monomers, diethylene glycol dimethacrylate monomers, ethoxylated phenol methacrylate monomers, diisopropenyl benzene monomers, ethoxylated trimethylol propane triacrylate monomers and mixtures thereof.

20  
25 16. The article of claim 15 wherein said substrate is an optical element.

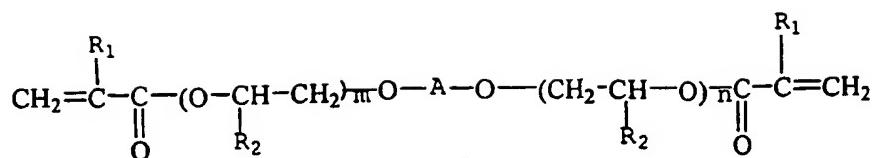
17. The article of claim 16 wherein said optical element is a lens.

30 18. The article of claim 16 wherein the refractive index of said lens is from 1.48 to 2.00.

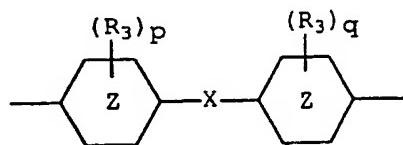
19. An article comprising a polymerizate of a composition consisting essentially of:

35 (a) at least two difunctional (meth)acrylate monomers selected from (i) a first monomer, represented by the following general formula:

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wherein each  $\text{R}_1$  is hydrogen or methyl, each  $\text{R}_2$  is hydrogen or  $\text{C}_1\text{-C}_2$  alkyl,  $m$  and  $n$  are each a positive number, the sum of  $m$  and  $n$  being greater than 3 but less than 15, and  $\text{A}$  is a  
 5 divalent linking group selected from the group consisting of straight or branched chain alkylene, phenylene,  $\text{C}_1\text{-C}_9$  alkyl substituted phenylene, and a group represented by the following general formula:

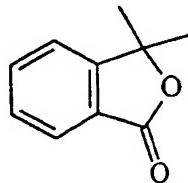


10 wherein, each  $\text{R}_3$  is  $\text{C}_1\text{-C}_4$  alkyl, chlorine or bromine,  $p$  and  $q$  are each an integer from 0 to 4,



represents a divalent benzene group or a divalent cyclohexane group and  $\text{X}$  is  $\text{O}$ ,  $\text{S}$ ,  $-\text{S}(\text{O}_2)-$ ,  $-\text{C}(\text{O})-$ ,  $-\text{CH}_2-$ ,  $-\text{CH}=\text{CH}-$ ,

15  $-\text{C}(\text{CH}_3)_2-$ ,  $-\text{C}(\text{CH}_3)(\text{C}_6\text{H}_5)-$  or



when



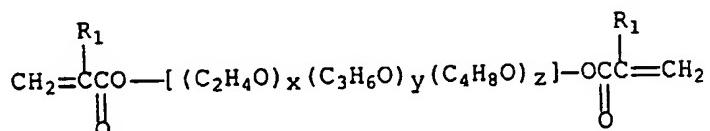
is the divalent benzene group, and  $\text{X}$  is  $\text{O}$ ,  $\text{S}$ ,  $-\text{CH}_2-$ , or

20  $-\text{C}(\text{CH}_3)_2-$  when

- 52 -



is the divalent cyclohexane group; and (ii) a second monomer represented by the following general formula:



5

wherein each R<sub>1</sub> is hydrogen or methyl, x, y and z are each a number between 0 and less than 15 and the sum of x, y and z is greater than 3 and less than 15;

(b) from 0.5 to 40.0 weight percent, based on the 10 total weight of monomers, of a photochromic component; and

(c) from 0 to 10 weight percent, based on the total weight of monomers, of polymerization initiator selected from thermal initiators, UV photoinitiators or mixtures of such initiators, said composition being substantially free of 15 materials selected from the group consisting of 2,4-diphenyl-4-methyl-1-pentene, dodecyl mercaptan, butyl mercaptan, diisopropenyl benzene and thiophenol.

20. The article of claim 19 wherein said 20 polymerize exhibits a Fischer microhardness of between 50 and 150 Newtons per mm<sup>2</sup>, a ΔOD at 30 seconds of at least 0.15 and at 15 minutes of at least 0.50, and a bleach rate of not more than 200 seconds - all as measured at 22°C (72°F).

25 21. The article of claim 19 wherein said article is a lens.

22. The article of claim 21 wherein said article has a thickness of at least 0.5 millimeters.

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**WO 01/02449 A3**

(54) Title: POLY(METH)ACRYLIC PHOTOCHROMIC COATING

(57) Abstract: Described are articles having a photochromic poly(meth)acrylic coating. The coatings exhibit a Fischer microhardness of from 50 to 150 Newtons per mm<sup>2</sup> and improved photochromic performance properties, i.e., the formation of darker activated colors and faster rates of photochromic activation and fade when irradiated with ultraviolet light. Also described are photochromic poly(meth)acrylic articles.

## INTERNATIONAL SEARCH REPORT

International Application No
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A. CLASSIFICATION F SUBJECT MATTER
IPC 7 C09D4/00 C08F222/10 G02B1/10

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## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, COMPENDEX

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 95 10790 A (PPG INDUSTRIES INC) 20 April 1995 (1995-04-20) examples PC1, PRS1 page 34	1-22
X	WO 97 06944 A (INNOTECH INC) 27 February 1997 (1997-02-27) cited in the application examples 4,5 claims	1-22
X	EP 0 846 708 A (INNOTECH INC) 10 June 1998 (1998-06-10) cited in the application example 7 claims	1-22

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 739 243 A (HEROLD ROBERT D ET AL) 14 April 1998 (1998-04-14) example 3 claim 1 -----	1-22

## INTERNATIONAL SEARCH REPORT

Information on patent family members

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